
Authorities Budget Office Policy Guidance



Local Authority Mission Statement and Performance Measurements

Name of Local Authority:

CATSKILL WATERSHED CORPORATION

Local Authority's Mission Statement:

Pursuant to the Certificate of Incorporation of the Catskill Watershed Corporation duly filed with the New York State Secretary of State on November 6, 1996, the purposes of the corporation are:

a. the exclusively charitable or public purposes of relieving and reducing adult unemployment, promoting and providing for additional and maximum adult employment, bettering and maintaining adult job opportunities, instructing or training individuals to improve or develop their capabilities for such jobs, aiding that part of the Watershed of the City of New York located West of the Hudson River (the "West of Hudson Watershed") by attracting new commerce and industry to such area and by encouraging the development of, or retention of, commerce and industry in such area, and lessening the burdens of government and acting in the public interest and all other lawful functions all in a manner which seeks to protect water quality in the West of Hudson Watershed;

b. to administer those Watershed Protection and Partnership Programs ("Watershed Protection and Partnership Programs"), which are necessary to maintain and enhance water quality in the West of Hudson Watershed and the economic and social character of the Watershed communities, and that benefit the West of Hudson Watershed, as more fully described in Article V of the New York City Watershed Memorandum of Agreement (November, 1996) (the "Watershed Agreement").

c. to make decisions on funding and implementation of the Watershed Partnership and Protection Programs consistent with the terms and provisions of the Watershed Agreement; and

d. to undertake any other activities consistent with the promotion and protection of the economic development of, and the protection of water quality in, the communities located in the West of Hudson Watershed.

In furtherance of its corporate purposes, the Corporation shall have all the powers set forth at Sections 202(a) and 1411(c) of the Not-for-Profit Corporation Law, and in addition, shall have

the power to directly serve as program manager of and to administer and disburse all funds allocated to the following Watershed Partnership and Protection Programs consistent with the Watershed Agreement:

- a. Septic System Rehabilitations and Replacements;
- b. Stormwater Retrofits;
- c. Sand and Salt Storage Facilities;
- d. West of Hudson Future Stormwater Controls;
- e. Alternate Design Septic Systems;
- f. Public Education;
- g. West of Hudson Economic Development Study;
- h. Catskill Fund for the Future;
- i. that part of the New Sewage Treatment Infrastructure Facilities program utilized for the creation and maintenance of Septic Maintenance Districts; and
- j. Local Consultation on Land Acquisition;
- k. the Tax Consulting Fund.

Date Adopted: November 6, 1996 – the date of filing of the Certificate of Incorporation of the Catskill Watershed Corporation

Description of Local Authority's Stakeholders

Each town that is wholly or partially in the New York City West of Hudson Watershed is a member of CWC. Those towns with 100 or more acres within the New York City West of Hudson Watershed are voting members of CWC. CWC member towns reasonably expect that CWC will continue to pursue the purposes described above by administering the enumerated programs and continue to be an active voice for the towns in the West of Hudson Watershed.

List of Performance Goals (If additional space is needed, please attach):

CWC is a signatory to the 1997 New York City Watershed Memorandum of Agreement (Watershed MOA). The Watershed MOA was also signed and agreed to by New York State, including the Governor, Department of Environmental Conservation, New York State Department of Health, Environmental Facilities Corporation, New York City, including New York City Department of Environmental Protection, seven counties, sixty towns, and five environmental groups. Under the Watershed MOA, a 1997 Filtration Avoidance Determination and a 1997 Water Supply Permit issued by NYCDEP, CWC was charged with administering and NYCDEP is required to fund the Watershed Protection and Partnership Programs listed above. Since 1997, CWC has played an integral role in maintaining the quality of that unfiltered drinking water for 9 million New Yorkers as well as supporting compatible economic development in the West of Hudson Watershed. In 2007, the federal Environmental Protection Agency approved an additional 10 year Filtration Avoidance Determination. As a condition of that approval, New York City was required to fund various programs administered by CWC. CWC goals include:

- Reimbursing at least 300 homeowners annually for repair or replacing of their septic system under the CWC Septic Rehabilitation and Replacement Program
- Providing grants under the CWC Public Education Program of up to \$200,000 per year
- Providing grants for CWC Stormwater Retrofit Projects at a level consistent with historic levels
- Providing loans to businesses from the Catskill Fund for the Future for qualified economic development projects
- Completion of design and construction of community wastewater projects in five additional communities through the CWC Community Wastewater Management Program

This report was approved by the CWC Policy Committee and copies provided to all CWC Board Members at the March 1, 2011 meeting of the CWC Board of Directors.

Additional questions:

1. Have the board members acknowledged that they have read and understood the mission of the local authority?

. As a local authority, all Board members are supplied a copy of CWC Corporate Documents. A copy of this submission has also been provided.

2. Who has the power to appoint the management of the local authority?

As a local authority, the Board of Directors is responsible for hiring the Executive Director. The CWC Board of Directors also elects all officers including the President, 1st Vice President, 2nd Vice President, Treasurer and Secretary.

3. If the Board appoints management, do you have a policy you follow when appointing management.

As a local authority, CWC follows a corporate policy when appointing an Executive Director. That policy is contained in the corporation's by-laws.

4. Briefly describe the role of the Board and the role of management in the implementation of the mission.

The CWC Board of Directors is responsible for approving all economic development grants and loans from the Catskill Fund for the Future. In addition, the CWC Board of Directors approves all grants from all other programs. In the CWC Septic Program, CWC Board of Directors approves all reimbursement

requests that total greater than \$20,000 for an individual septic system. All checks require two signatures: a CWC Officer and CWC's Executive Director.

The CWC Executive Director is responsible for management of the day to day operations of CWC, including administration of various programs described above.

5. Has the Board acknowledged that they have read and understood the responses to each of these questions?

A copy of this submission has been provided to the members of the CWC Board of Directors and to the Executive Director.